

## Investors Complaints Data by Merchant Banker for IPO, FPO including OFS Data for every month ending – November 2024

| SN | Received from                 | Pending as<br>at the end<br>of last<br>month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total Pending during the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^<br>(in days) |
|----|-------------------------------|--|---|--|---|------------------------------|---|
| 1  | Directly from Investors       | 0  | 0   | 0  | 0   | 0                            |   |
| 2  | SEBI (SCORES)                 | 0  | 0   | 0  | 0   | 0                            |   |
| 3  | Stock Exchanges (if relevant) | 0  | 0   | 0  | 0   | 0                            |   |
| 4  | Other Sources (if any)        | 0  | 0   | 0  | 0   | 0                            |   |
| 5  | Grand Total                   | 0  | 0   | 0  | 0   | 0                            |   |

Trend of monthly disposal of complaints for the financial year:

|    | Trend of monthly disposar of complaints for the illiancial year. |          |            |            |            |  |  |
|----|--|----------|------------|------------|------------|--|--|
|    |  | Carried  |            |            | Pending at |  |  |
|    |  | forward  | Received   | Resolved   | the end of |  |  |
|    |  | from     | during the | during the | the        |  |  |
|    |  | previous | particular | particular | particular |  |  |
| SN | Month  | month    | month      | month *    | month #    |  |  |
| 1  | January, 2024  | 0        | 0          | 0          | 0          |  |  |
| 2  | February, 2024   | 0        | 0          | 0          | 0          |  |  |
| 3  | March, 2024  | 0        | 0          | 0          | 0          |  |  |
| 4  | April, 2024  | 0        | 0          | 0          | 0          |  |  |
| 5  | May, 2024  | 0        | 0          | 0          | 0          |  |  |
| 6  | June, 2024   | 0        | 1          | 0          | 1          |  |  |
| 7  | July, 2024   | 1        | 0          | 1          | 0          |  |  |
| 8  | August, 2024   | 0        | 0          | 0          | 0          |  |  |
| 9  | September, 2024  | 0        | 0          | 0          | 0          |  |  |
| 10 | October, 2024  | 0        | 0          | 0          | 0          |  |  |
| 11 | November, 2024   | 0        | 0          | 0          | 0          |  |  |
| 12 | December, 2024   |          |            |            |            |  |  |
|    | Grand Total  | 1        | 1          | 1          | 0          |  |  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

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Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

|    |             | Carried  |            |            | Pending at |
|----|-------------|----------|------------|------------|------------|
|    |             | forward  | Received   | Resolved   | the end of |
|    |             | from     | during the | during the | the        |
|    |             | previous | particular | particular | particular |
| SN | Year        | year     | year       | year       | year       |
| 1  | 2021        | 0        | 4          | 4          | 0          |
| 2  | 2022        | 0        | 0          | 0          | 0          |
| 3  | 2023        | 0        | 0          | 0          | 0          |
| 4  | 2024        | 0        | 1          | 1          | 0          |
| 5  | 2025        |          |            | _          |            |
|    | Grand Total | 0        | 5          | 5          | 0          |